



COPY OF PAPERS
ORIGINALLY FILED

PATENT
Docket No.: Q112-US1

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan Ruth et al.

Application No.: 09/842,790

Group Art Unit: 1741

Filing Date: April 25, 2001

Examiner: N/A

Title: LITHIUM ION BATTERY
SUITABLE FOR HYBRID
ELECTRIC VEHICLES

CERTIFICATE OF MAILING VIA
CERTIFIED FIRST CLASS MAIL (37 CFR 1.8)
Dated May 1, 2002

RECEIVED
MAY 14 2002
TC 1700

Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that the following attached documents are being deposited with the United States Postal Service as first class certified mail under 37 CFR 1.8 on the date indicated above and are addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

1. Transmittal Letter for Information Disclosure Statement (in duplicate)
2. PTO Form 1449 with copy of references
3. Self addressed stamped return postcard

May 1, 2002

Date of Deposit

Lisa K. Robbins

Name of Person Mailing paper or fee

Signature

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Q112-US1

In Re Application Of: Alan Ruth et al.

MAY 09 2002
PATENT & TRADEMARK OFFICE

Serial No.
09/842,790

Filing Date
April 25, 2001

Examiner
N/A

Group Art Unit
1741

Title: LITHIUM ION BATTERY SUITABLE FOR HYBRID ELECTRIC VEHICLES

COPY OF PAPERS
ORIGINALLY FILED

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

RECEIVED

MAY 14 2002

TC 1700

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Q112-US1

In Re Application: Alan Ruth et al.

MAY 09 2002

Serial No.

09/842,790

Filing Date

April 25, 2001

Examiner

N/A

Group Art Unit

1741

LITHIUM ION BATTERY SUITABLE FOR HYBRID ELECTRIC VEHICLESCOPY OF PAPERS
ORIGINALLY FILED**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0921 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account


Signature

Dated: 5-1-2002

RECEIVED

MAY 14 2002

TC 1700

Mary Elizabeth Bush
Reg. No. 38,402
Quallion LLC Legal Department
12744 San Fernando Road, Bldg. #4
P.O. Box 923127
Sylmar, CA 91392-3127

cc: